A Presentation on

## Subband Goding Of Images

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# Introduction

- Subband coding is a popular method for source coding of images.
  It is the next step after Transform coding.
- One drawback of transform coding is the artificial division of source image into blocks.
- One approach in avoiding this is to split the image into different frequency bands without imposing any artificial block structure.
- After the image has been decomposed into its constituents, coding technique best suited to each constituent can be used.
- Also each constituent can have different perceptual characteristics, that can be exploited while quantization.

## A Simple Example

• Consider the following sequence, say Xn :

10 14 10 12 14 8 14 12 10 8 10 12

 Since there is significant sample-to-sample correlation, we can use a DPCM scheme for compressing the sequence. Sample-to-sample differences :

10 4 -4 2 2 -6 6 -2 -2 -2 2 2

- Dynamic range of the above sequence (ignoring the first element) = 6-(-6) = 12.
- If the quantizer uses M levels of reconstruction then step size  $\Delta = 12/M$ .
- Hence maximum quantization error =  $\Delta/2$  = 6/M.
- Now suppose we define two sequences

Yn = 0.5(Xn + Xn-1) and Zn = 0.5(Xn - Xn-1).

## A Simple Example

• The sequence Yn can be produced as :

10 12 12 11 13 11 11 13 11 10 9 11

 Since there is significant sample-to-sample correlation, we can use a DPCM scheme for compressing the sequence. Sample-to-sample differences :

10 2 0 -1 2 -2 0 2 -2 -1 -1 2

- Dynamic range of the above sequence (ignoring the first element) = 2-(-2) = 4.
- Step size  $\Delta = 4/M$  and maximum quantization error =  $\Delta/2 = 2/M$ .
- Sequence Zn can be calculated as :

0 2 -2 1 1 -3 3 -1 -1 1 1

## A Simple Example

- The sample-to-sample difference varies more than the actual values. Hence Differential encoding is not a proper choice. Instead each sample can be quantized independently.
- Dynamic range of the sequence = 3-(-3) = 6.
- Step size  $\Delta = 6/M$  and maximum quantization error =  $\Delta/2 = 3/M$ .
- Hence for same number of bits per sample, we can code both  $Y_n$  and  $Z_n$  and incur less distortion. At the reconstruction side,  $Y_n$  and  $Z_n$  can be added to get  $X_n$ . The maximum possible quantization error = 2/M + 3/M = 5/M which is less then 6/Mwhich would occur if the sequence  $X_n$  is directly encoded.
- Moreover, it is easy to see that  $X_{2n} = Y_{2n} + Z_{2n}$  and  $X_{2n-1} = Y_{2n} Z_{2n}$ .
- Hence entire X<sub>n</sub> can be regenerated by using only the even samples (alternate samples) of Z<sub>n</sub> and Y<sub>n</sub>.

## A Simple Example : Conclusions

- The number of different values transmitted are the same, whether we transmit Xn or Yn and Zn.
- The two subsequences have different characteristics, which led to usage of different techniques to encode them.
- If Xn were not spiltted, we would have been essentially using the same approach to compress both sequences.
- We could extend the method by decomposing the subsequences further.

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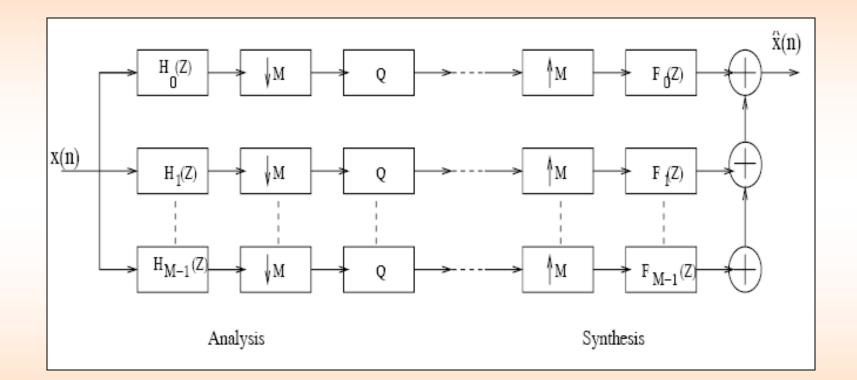
Image Compression Example

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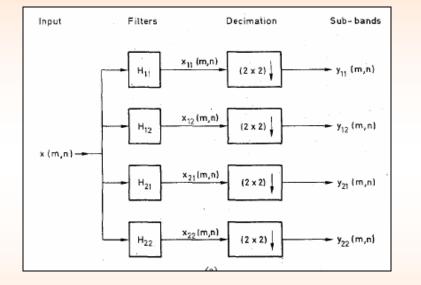
## **Subband Coding**

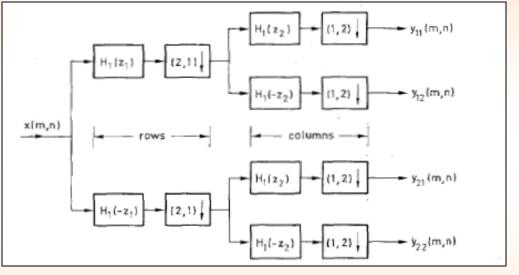
- The basic Subband Coding system Consists of three major components
  - # Analysis Filter bank
  - # Quantization and coding
  - # Synthesis Filter bank
- In analysis stage, the source output (image) is passed through a bank of filters, called the analysis filter bank, which cover the range of frequencies that make up the source output.
- The passbands of the filters can be overlapping or non-overlapping.
- The output of the filters are then sub-sampled or decimated in accordance with Nyquist rule.

## **Subband Coding**



### Subband Coding : Analysis





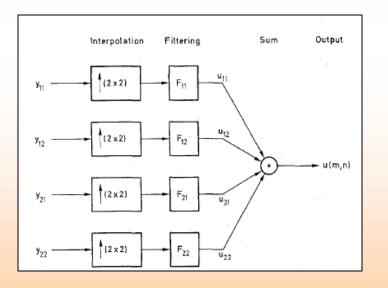
## Subband Coding : Quantization and Coding

- Different subbands contain different amounts of information.
- Therefore the available bits are to be allocated among the subbands according to some measure of the information content.
- For example, suppose we are decomposing the image into 4 subbands and we want a coding rate of 1 bit per pixel, we can do this by using 1 bit per pixel for each subimage, or we could discard two subimages and use 2 bits per pixel for the remaining two subimages, or we could use all the 4 bits per pixel for the first subimage and discard the other three.

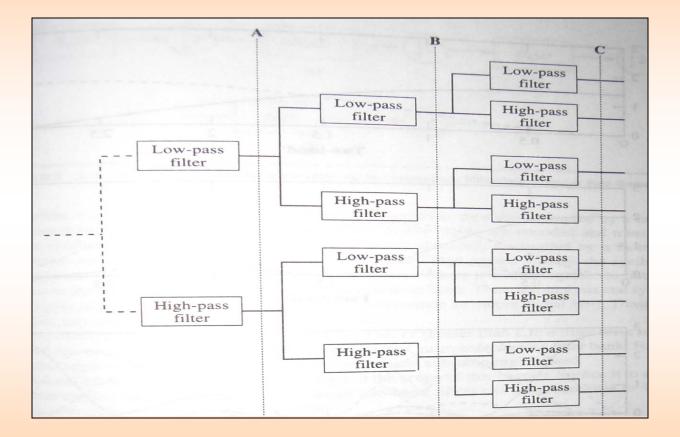
LL	LH
HL	НН

## Subband Coding : Synthesis

- The encoded samples from each subband are decoded at first.
- The decoded values are then upsampled or expanded by introducing 0's between the samples.
- The upsampled signals are passed through a bank of reconstruction filters, the outputs of which can be added to give the final reconstructed outputs.



## **M-Band Subband Coding System**



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## **Quantization and Coding : Recursive Bit Allocation**

- The amount of information present in each sub-band is proportional to the variance of the coefficients of that subband.
- Algorithm :
  - ✓ Compute variances, var(k) for each subimage k = 1 to M
  - ✓ Set R(k) = 0 for all k and Rb = MR, where Rb is the total number of bits available for distribution and R is the required average bit rate.
  - ✓ Sort the variances { var(k) } . Suppose var(m) is the maximum.
  - ✓ R(m) = R(m) + 1; var(m) = var(m) / 2;
  - ✓ Rb = Rb 1. If Rb = 0, then stop ; otherwise go to step 3.
- Following this procedure we can allocate more bits to subimage with higher variance and few or none to the ones with lower variances.

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## Filters used in subband coding of Images

- Johnston Filter-bank and Smith-Barnwell filter-bank.
- Both the filter-banks use tree structure for decomposition of image.
- The high pass analysis filter and both synthesis filters are derived from low pass analysis filter by inspection.
- They come under the category of quadrature mirror filter banks.
- Number of taps in the filters can be varied.Trade-off involved between the efficiency of decomposition and the amount of computation.

## **Practical Filters : Johnston filter**

Table 1 Coefficients for the 8-tap Johnston low-pass filter.	
h0, h7	0.00938715
h1, h6	0.06942827
h2, h5	-0.07065183
h3, h4	0.48998080
	the 16-tap Johnston low-pass filter.
h0, h15	the 16-tap Johnston low-pass filter. 0.002898163
h0, h15	0.002898163
h0, h15 h1, h14	0.002898163 -0.009972252
h0, h15 h1, h14 h2, h13	0.002898163 -0.009972252 -0.001920936
h0, h15 h1, h14 h2, h13 h3, h12	0.002898163 -0.009972252 -0.001920936 0.03596853
h0, h15 h1, h14 h2, h13 h3, h12 h4, h11	0.002898163 -0.009972252 -0.001920936 0.03596853 - 0.01611869

## **Practical Filters : Johnston filter**

- Design of Johnston filter bank involves design of a two channel semi PR QMF filters (semi perfect reconstruction QMF).
- Such filters cannot satisfy all the three conditions for perfect reconstruction, namely alias cancellation, phase distortion and amplitude distortion elimination, together.
- Johnston filters satisfy alias cancellation and linear phase property. But they do not eliminate amplitude distortion completely.
- Alias cancellation is done by choosing  $F_1(z) = H_2(-z)$  and  $F_2(z) = -H_1(-z)$
- By using linear phase FIR filters, phase distortion is eliminated.
- Finally  $H_1(z)$  is designed in such a way that Amplitude distortion is minimized and  $H_2(z) = H_1(-z)$ .

## **Practical Filters : Smith Barnwell Filters**

Table 3 Coefficients of the 8-tap Smith-Barnwell low-pass filter.	
h0	0.0348975582178515
h1	-0.01098301946252854
h2	-0.06286453934951963
h3	0.223907720892568
h4	0.556856993531445
h5	0.357976304997285
h6	-0.02390027056113145
h7	-0.07594096379188282

## **Practical Filters : Smith Barnwell Filters**

- Unlike Johnston filter, Smith Barnwell filters form a perfect reconstruction system.
- Aliasing is avoided by choosing  $F_1(z) = -H_2(-z)$  and  $F_2(z) = H_1(-z)$ .
- Analysis high pass filter is related to analysis low pass filter as :

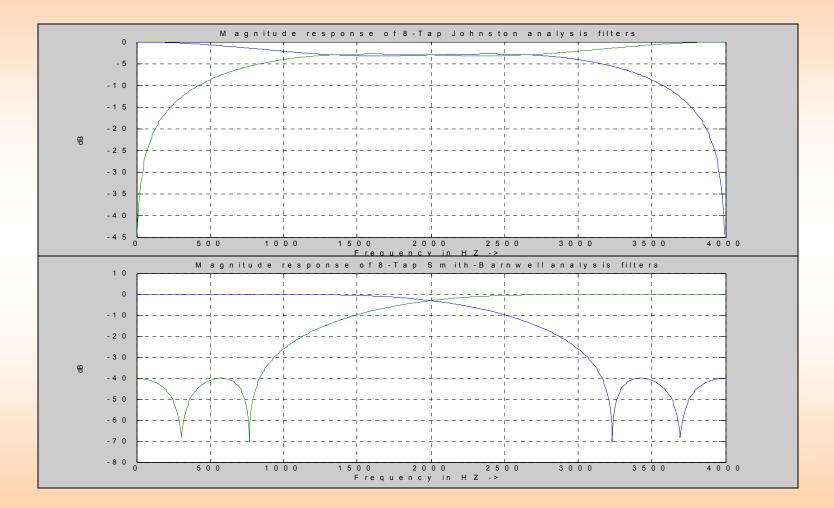
$$H_2(z) = z^{-N} H_1(-z^{-1}).$$

 It can be shown that perfect reconstruction requirement reduces to finding a prototype low-pass filter H(z) = H1(z) such that

H(z)H(z-1)+H(-z)H(-z-1) = constant.

Such a filter is called a power symmetric filter.

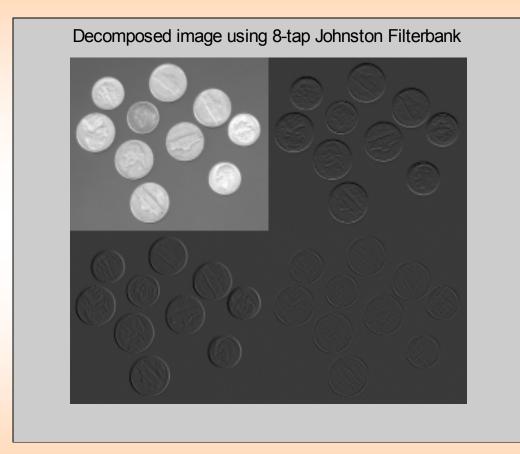
### **Johnston Vs Smith Barnwell Filters**

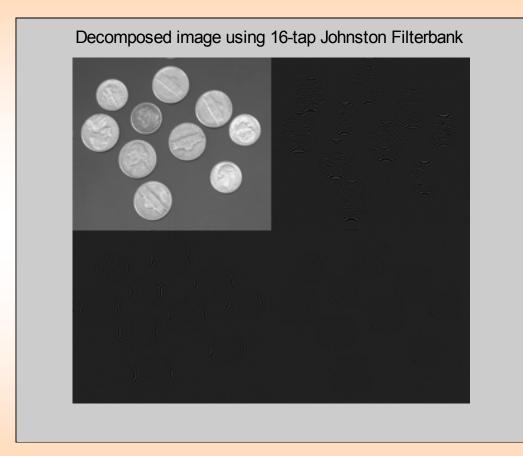


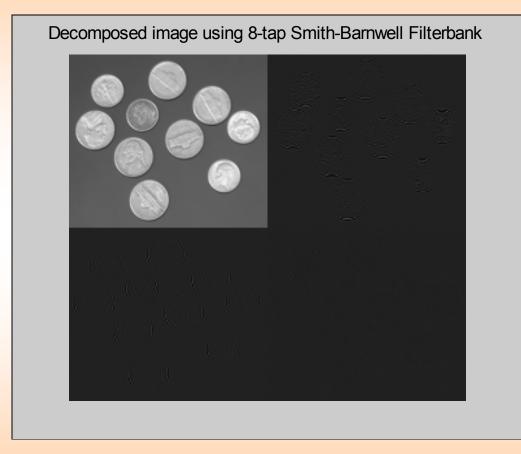
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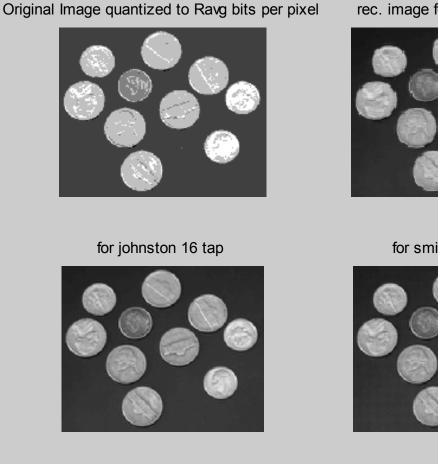








Subband Coding Of Images



#### rec. image for johnston 8tap



#### for smith-barnwell

- In the image decomposed using 8-tap Johnston filter, there was significant energy in the LL, LH and HL bands. The algorithm allocated 6 bits to the LL band and 1 bit to the LH and HL bands. This resulted on poor encoding for both and subsequently poor reconstruction.
- In the image decomposed by 16-tap Johnston filter or the 8-tap Smith-Barnwell filter, most of the significant energy is concentrated in the LL band. Hence the algorithm allocated all the 8 bits to LL band, which provided a good reconstruction.
- The issue of energy compaction is also an important factor in reconstruction quality. Filters that allow more energy compaction permit allocation of available bits to a smaller number of subbands. This in turn results in a better reconstruction.

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## **Summary and Conclusion**

- Subband coding is an important application of filter bank theory with large practical application.
- The advantage of subband coding lies in the decomposition of source image into different sub-images, each with a different frequency span and different characteristics and hence can be coded differently and more efficiently.
- The general subband encoding of image can be summarized as :
  - Select a set of filters (filter bank) for decomposing the image.
    The selection may involve a trade off between good reconstruction and number of computations.
  - ✓ Pass the image through the filter bank. Decimate the output of filter bank.
  - ✓ Quantize and encode the resulting sub-images.
  - ✓ Decoding and Synthesis can be done in exactly a reverse manner of encoding and analysis.

